

# A semi-automated, multi-source data fusion update of a wetland inventory for east-central Minnesota, USA

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## 1 **ABSTRACT**

2 Comprehensive wetland inventories are an essential tool for wetland management, but developing and  
3 maintaining an inventory is expensive and technically challenging. Funding for these efforts has also  
4 been problematic. Here we describe a large-area application of a semi-automated process used to  
5 update a wetland inventory for east-central Minnesota. The original inventory for this area was the  
6 product of a labor-intensive, manual photo-interpretation process. The present application incorporated  
7 high resolution, multi-spectral imagery from multiple seasons; high resolution elevation data derived  
8 from lidar; satellite radar imagery; and other GIS data. Map production combined image segmentation  
9 and random forest classification along with aerial photo-interpretation. More than 1000 validation data  
10 points were acquired using both independent photo-interpretation as well as field reconnaissance.  
11 Overall accuracy for wetland identification was 90% compared to field data and 93% compared to  
12 photo-interpretation data. Overall accuracy for wetland type was 72% and 78% compared to field and  
13 photo-interpretation data, respectively. By automating the most time consuming part of the image  
14 interpretations, initial delineation of boundaries and identification of broad wetland classes, we were  
15 able to allow the image interpreters to focus their efforts on the more difficult components, such as the  
16 assignment of detailed wetland classes and modifiers.

17 **Keywords:** wetlands inventory, wetland mapping, accuracy assessment, remote sensing

## 18 INTRODUCTION

19 Wetland inventory maps are essential tools for wetland management, protection, and restoration  
20 planning. They provide information for assessing the effectiveness of wetland policies and management  
21 actions. These maps are used at all levels of government, as well as by private industry and non-profit  
22 organizations for wetland regulation and management, land use and conservation planning,  
23 environmental impact assessment, and natural resource inventories. Wetland inventories are used to  
24 assess impacts of regulatory policy (Gwin et al. 1999), assess habitat distribution and quality (Austin et  
25 al. 2000; Hepinstall et al. 1996; Marchand and Litvaitis 2004; Knutson et al. 1999), evaluate carbon  
26 storage potential and climate change impacts (Euliss et al. 1999; Burkett and Kusler 2000), and measure  
27 and predict waterfowl and amphibian population distribution (Yerkes, et al. 2007; Munger et al. 1998;  
28 Knutson et al. 1999).

29 There are several notable efforts across the globe to conduct national and regional comprehensive  
30 wetland inventories. The Canadian Wetland Inventory (CWI) is developing a comprehensive wetland  
31 inventory based on remote sensing data from Landsat and Radarsat platforms (Li and Chen 2005;  
32 Fournier et al 2007). The CWI maps wetlands down to a minimum mapping unit of 1 ha using a five class  
33 system. In 1974, the U.S. Fish and Wildlife Service began an effort to implement the National Wetlands  
34 Inventory (NWI) for the United States (Cowardin et al. 1979). The NWI is based on manual aerial photo-  
35 interpretation with a target map unit of 0.2 ha and a detailed hierarchical classification scheme involving  
36 wetland systems, classes, subclasses, water regimes, and special modifiers (Dahl 2009). The  
37 Mediterranean wetland initiative promotes standardized methods for wetland inventory and monitoring  
38 across multiple countries in the Mediterranean region (Costa et al 2001). Wetland classification and  
39 mapping recommendations for this initiative closely follow the NWI. More recently, wetlands across  
40 China have been mapped using Landsat data into three broad classes with 15 subtypes generally based  
41 on landscape and landform characteristics (Gong et al. 2010). Despite these efforts, a review of the

42 status of wetland inventories concluded that there still are significant gaps in our knowledge about the  
43 extent and condition of global wetland resources. Finlayson and Spiers (1999) found that outside of a  
44 few of the more developed countries and regions, wetland inventories were generally incomplete or  
45 non-existent.

46 Even regions with comprehensive wetland inventories require periodic updates. For example, in  
47 Minnesota, most of the NWI is 25 to 30 years old. Many changes in wetland extent and type have  
48 occurred since the original inventory was completed. Agricultural expansion and urban development  
49 have contributed to wetland loss. Conversely, various wetland conservation policies and programs have  
50 resulted in the restoration of some previously drained wetlands and the creation of new wetlands.  
51 Furthermore, limitations in the technology, methodology and source data for the original NWI resulted  
52 in an under representation of certain types of wetlands. In northeastern Minnesota, wetlands were  
53 originally mapped using 1:80,000 scale panchromatic imagery. The resulting wetland maps in this area  
54 tend to be very conservative, missing many forested and drier emergent wetlands (LMIC 2007).

55 Updating the wetland inventory for such areas enhances the ability of conservation organizations to  
56 make better management decisions. There is a significant ongoing need to develop and update wetland  
57 inventories.

58 Maintaining wetland inventories can be expensive and technically challenging given the complexity of  
59 wetland features and user expectations for a high degree of accuracy. Federally funded updates to the  
60 NWI are required to conform to the federal wetland mapping standard (FGDC 2009). This standard calls  
61 for  $\geq 98\%$  producer's accuracy for all wetland features larger than 0.2 ha and a wetland class-level  
62 accuracy of  $\geq 85\%$ . Unfortunately, funding for mapping in the NWI program has declined over the past 20  
63 years (Tiner 2009) and has been almost entirely eliminated as of 2014 (NSGIC 2014).

64 Historically, the NWI has been primarily the product of manual aerial photo-interpretation (Tiner 1990).  
65 Much of the original delineation and classification was done using hardcopy stereo imagery with mylar  
66 overlays. In the last decade, NWI mapping efforts have largely transitioned to heads-up, on-screen  
67 digitizing and classification from digital orthorectified imagery (Drazkowski et al 2004; Dahl et al. 2009).  
68 Despite the efficiency gains achieved by migrating to an on-screen digitizing process, the process is still  
69 labor-intensive.

70 Automated classification of wetlands from remote sensing data has had varied results. Ozesmi and  
71 Bauer (2002) compare the results of automated wetland classification using satellite imagery to wetland  
72 mapping from manual photo-interpretation. In their review, they note that the limitations of satellite  
73 imagery, specifically resolution limitations when compared to aerial photography as well as limitations  
74 related to spectral confusion between classes, led the NWI program to choose a method based on  
75 photo-interpretation. However, given the advancements in the fields of remote sensing and image  
76 analysis since the NWI was originally designed, the use of automated mapping and classification  
77 techniques warrants reconsideration.

78 Collecting, managing, and analyzing large quantities of high spatial resolution digital imagery has  
79 improved significantly over the past two or three decades. Airborne imagery acquisition systems like the  
80 Zeiss/Intergraph Digital Mapping Camera (Z/I DMC) and the Vexcel Ultracam are commonly used to  
81 acquire four-band multispectral imagery at less than 1-meter resolution. In addition, high-resolution,  
82 multispectral imagery is also available through various satellite systems such as Worldview-2, Quickbird  
83 and IKONOS. The costs for data storage required for the large quantities of high-resolution imagery data  
84 have dropped significantly and advances in automated image analysis techniques have improved the  
85 efficiency with which these data can be processed.

86 Radar imagery shows potential to provide new information such as water level changes in wetlands, soil  
87 saturation and vegetation structure (Corcoran et al. 2011; Bourgeau-Chavez et al. 2013). In the near  
88 term, the sources of satellite radar imagery are somewhat limited. Yet, Radarsat imagery is being used  
89 operationally as part of the Canadian Wetland Inventory (Brisco et al. 2008).

90 Recent widespread adoption of scanning topographic lidar systems also provides a new source of highly  
91 relevant digital information for wetland mapping. The distribution and occurrence of wetlands is heavily  
92 influenced by topography. For example, Beven and Kirkby (1979) described a topographic index to  
93 predict spatial patterns of soil saturation based on the ratio of the upslope catchment area to the  
94 tangent of the local slope. Numerous researchers have used this topographic index, alternately known  
95 as the compound topographic index (CTI) or the wetness index, to predict the occurrence of wetlands  
96 (Hogg and Todd 2007; Murphy et al. 2007; Rampi et al. 2014b). As such, topographic analysis of lidar  
97 data is an important emerging technology for wetland mapping.

98 Image segmentation is a process that groups adjacent image pixels into larger image objects based on  
99 criteria specified by the image analyst. The goal of segmentation is to simplify the image into a smaller  
100 number of potentially meaningful objects which can then be classified using various attributes  
101 describing these objects (i.e. brightness, texture, size, and shape). This technique simultaneously  
102 reduces data volume while incorporating spatial contextual information in the classification process.

103 Image segmentation has been shown to be a potentially valuable technique for improving image  
104 classification accuracy for mapping land cover (Myint et al. 2011) and wetlands (Frohn et al. 2009).

105 Classification algorithms like random forest (Breiman 2001) have greatly improved our ability to  
106 effectively integrate data from multiple sources into an automated classification procedure.

107 Incorporating data from multiple sensor systems as well as ancillary GIS data can potentially improve

108 wetland classification accuracy (Corcoran et al. 2011, Knight et al. 2013, Corcoran et al. 2013, Rampi et  
109 al. 2014a).

110 Here we describe a large area application of a semi-automated classification process used to update the  
111 NWI. The objective of this effort was to determine whether automated techniques such as image  
112 segmentation, digital terrain analysis, and random forest classification could be combined with multiple  
113 high-resolution remote sensing and GIS data sets and traditional photo-interpretation to efficiently  
114 produce an accurate and spatially detailed wetland inventory map.

## 115 **METHODS**

### 116 **Study Area**

117 The study area is 18,520 square kilometers, centered on the 13-county metropolitan area of  
118 Minneapolis and Saint Paul, Minnesota (Figure 1). The study area is situated primarily in the Eastern  
119 Broadleaf Forest Ecological Province (DNR 2013) and the climate is typical of its continental position  
120 with hot summers and cold winters. Typical annual precipitation ranges from about 76 to 81 centimeters  
121 (Minnesota Climatology Working Group 2012). Land use in the study area varies from a dense urban  
122 core with a mix of commercial and high density residential area, to lower density suburban and exurban  
123 communities, and rural agricultural and forests.

### 124 **Input Data**

125 The primary imagery used for the NWI update was spring, leaf-off, digital aerial imagery with four  
126 spectral bands (red, green, blue, and near infrared) in 541 orthorectified USGS quarter quadrangle tiles.  
127 The imagery was acquired using a Z/I DMC camera in early April of 2010 and late April to early May of  
128 2011. Imagery for 60% of the project area was acquired at a spatial resolution of 30 cm, while imagery  
129 for the other 40% was acquired at 50 cm resolution. The imagery has a horizontal root mean square

130 error (radial) of 78 cm (MnGeo 2010). For the image segmentation process, the 30cm images were  
131 resampled to 50cm resolution using a bilinear interpolation algorithm.

132 Thirteen single-date scenes of PALSAR L-band radar were acquired to cover the project area to aid in the  
133 identification of forested wetlands. The scenes available were a combination of single and dual  
134 polarization during a leaf-off seasonal window. The Alaska Satellite Facility MapReady Remote Sensing  
135 Tool Kit (ASF 2011) was used for terrain correction and geo-referencing. Additional geo-referencing was  
136 performed in ArcGIS using control points selected from the aerial imagery. A radar processing extension  
137 in Opticks was used to reduce speckle in the data (Opticks 2011). Radar imagery was classified using a  
138 10-class maximum-likelihood ISODATA clustering routine implemented in ERDAS Imagine software  
139 (ERDAS 2008). The classes associated with “wet forest” training sites were identified and the  
140 classification was applied to all clusters within the radar image.

141 Digital elevation models (DEMs) were derived from lidar data for approximately 60% of project area,  
142 while DEMs for the remainder were 10-meter resolution DEMs obtained from the National Elevation  
143 Dataset. The typical lidar point spacing was about 1 point per square meter. The Minnesota DNR  
144 processed the bare earth points into a digital elevation model using 3D Analyst for ArcGIS by importing  
145 the points into a terrain data set and then interpolating a 1-meter DEM that was subsequently  
146 resampled to a 3-meter DEM. This lidar DEM has a vertical root mean square of 18 cm.

147 ArcGIS Spatial Analyst (ESRI 2011) was used to calculate slope, curvature, plan curvature, profile  
148 curvature, topographic position index (TPI) and compound topographic index (CTI). TPI was calculated by  
149 subtracting the mean elevation for a given pixel from the mean elevation of its neighborhood (Guisan et  
150 al. 1999). We used an annulus neighborhood with radii of 15 and 20 meters. The CTI (Moore 1991) was  
151 calculated using a sinkless version of the DEM. A slope grid and upstream catchment area grid were

152 calculated using the D-Infinity flow directions tool from TauDEM (Tarboton 2003). CTI was then  
153 computed from slope and contributing drainage area using a custom python script.

154 The Natural Resources Conservation Service (NRCS) digital Soil Survey Geographic (SSURGO) layers were  
155 compiled for the project area (NRCS 2010). Two derived raster products were produced from SSURGO  
156 data; (1) the soil water regime class, and (2) the percentage of hydric soil. The variables used to derive  
157 these products included drainage class, flood frequency for April, pond frequency for April, and pond  
158 frequency for August.

159 The layers described above were formatted for input to an Object Based Image Analysis (OBIA) process  
160 using the Cognition Network Language (CNL) implemented within eCognition software (Trimble 2010).  
161 Images were clipped to the boundary of the relevant quarter quad tile and stacked with ERDAS Imagine  
162 software (ERDAS 2008) into a single multi-layer file subsequently referred to here as the layer-stack.

### 163 **Training Data**

164 Reference field data were collected to serve as training data for the random forest classification and to  
165 guide the interpreters during the image interpretation process. A set of 12 representative sub-areas  
166 were selected for field visits to provide representative training data for the wetland types found  
167 throughout the project area. The sub-areas were selected to be spatially distributed and to represent  
168 the range of landscape types in the project area. Within these sub-areas, individual wetland sites were  
169 selected for field visits using a stratified-random sampling approach with strata proportioned according  
170 to the frequency of wetland classes. Rarely occurring wetland types were always flagged for field visits.

171 A total of 510 field sites were visited. The training data were augmented by including 1967 sites selected  
172 from field data provided by field biologists at the Metropolitan Mosquito Control District as well as 873  
173 sites image-interpreted by Ducks Unlimited.

174 All training data were classified according to the Cowardin classification system (Cowardin et al. 1979),  
175 which is a hierarchical system developed to standardize the classification of wetlands and deepwater  
176 habitats of the United States. Additional details of the classification system including the definition of  
177 each system, subsystem, class, and subclass can be found in Cowardin et al. (1979) and Dahl et al.  
178 (2009).

## 179 **Automated Components**

180 The object-based image analysis (OBIA) rule set consisted of several steps to separate wetlands from  
181 other land cover types. The process began with a multi-resolution segmentation algorithm (Baatz and  
182 Schape2000) that created image objects (groups of spectrally similar pixels). Parameters for the initial  
183 segmentation were; scale factor = 6, shape = 0.5, compactness = 0.9, RGB weight = 1, and near infrared  
184 weight = 2. A relatively small scale parameter was chosen to ensure that small wetlands would be  
185 represented in the lowest level of the image object hierarchy. A three-tier hierarchy consisting of  
186 spatially nested sub-objects, mid-level objects, and super objects provided a flexible framework for  
187 iteratively integrating information from different image and topographic data sources. The rule set was  
188 designed to draw boundaries for real world features of interest (e.g., stream beds) by iteratively  
189 aggregating sub-objects at a temporary mid-level according to rules defining specific features of interest  
190 for each major sequence of the larger rule set. Once useful boundaries for a particular sequence were  
191 identified (using temporary classification thresholds and modification of the object boundaries at the  
192 mid-level), the feature boundary information was conveyed to the super-level for inclusion in the final  
193 output. Each modified mid-level was then destroyed and the unmodified sub-objects were re-used to  
194 initialize a new version of the mid-level to repeat the process of selective aggregation and classification  
195 for the next feature of interest.

196 The first major process sequence was designed to identify wooded-wetlands using the PALSAR radar  
197 data. Sub-objects were aggregated at a temporary mid-level according to boundaries created from the

198 previously classified PALSAR data. A mask layer with the boundaries of the PALSAR wetland clusters was  
199 incorporated into the layer stack data. The boundaries created by the 20m resolution PALSAR-derived  
200 wooded wetland mask were not cartographically compatible with boundaries for other features derived  
201 from the 0.5m resolution image data. This difference was reconciled in the eCognition rule set via a  
202 custom-built iterative pixel-based object merging and reshaping algorithm applied to the mid-level in  
203 the object hierarchy.

204 The second major process sequence in the rule set was designed to isolate open water stream features  
205 and stream-bed topographic features. A preliminary linear stream vector layer was generated using Arc  
206 Hydro terrain modeling software (Maidment 2002) to identify likely flow pathways using the lidar  
207 derived DEM data. This linear flow path layer was used to seed a region growing sequence that  
208 identified spectrally dark sub-objects contiguous to the modeled stream lines. These objects were  
209 merged at the mid-level and the boundaries were smoothed to form the stream polygons, which were  
210 then stored at the super-object level. A spectral difference segmentation algorithm (Definiens Imaging,  
211 2009) was then used on the DEM (threshold value of 0.05m) to generate temporary elevation contours.  
212 The contour objects containing nested stream-sub-objects were then identified and classified as  
213 potential riparian areas, which were more likely to contain wetlands.

214 The third major process sequence in the rule set separated forested areas from non-forested areas and  
215 selectively generated contour lines in forest polygons. Forested areas were identified by aggregating  
216 sub-objects at a temporary mid-level according to image spectral characteristics ( $0.017 < NDVI < 0.28$   
217 and  $RGB \text{ brightness} < 150$ ) and textural characteristics (average mean difference to neighbors of sub-  
218 objects  $> 0.95$  in the NIR band). Small candidate forest objects were then merged into stand sized  
219 forested objects. Based on prior experience, the photo interpretation team requested that elevation  
220 data be added to forested areas. A spectral difference algorithm which merged together objects with

221 similar elevation values was applied to the sub-objects of the forest stand objects. An elevation  
222 threshold value of 0.33m was used to create objects that approximate 0.33m contour intervals.

223 The final major process sequence in the rule set was designed to create a background layer of general-  
224 purpose image objects, which are delivered to the photo-interpretation team for editing in order to  
225 create the final wetland map. A multi-resolution segmentation algorithm (parameters: scale factor =  
226 400, shape = 0.1, compactness = 0.9, RGB weight = 1 and NIR weight = 2) was used in all areas not  
227 classified in the previous sequences to delineate strongly visible boundaries in the spring leaf-off  
228 imagery. This finalized set of image objects was then smoothed and exported in a vector shape-file  
229 format for transfer to the photo-interpretation team.

230 Each image object has numerous associated attributes derived from the imagery, DEM, and other  
231 ancillary data sets. These attributes, along with the training data, were used to create a classification  
232 model using the randomForest package in R (R Development Core Team 2011; Breiman 2001). All image  
233 objects were also assigned a unique identification number so that the classification model results could  
234 be linked back to the image objects.

## 235 **Manual Components**

236 A 750-meter square grid system (enabling the interpreter to completely view an image section on a  
237 monitor at 1:3,000) overlaid on each image was used to systematically guide image-interpretation  
238 efforts and ensure complete interpretation of each image. Interpreters viewed the classified image  
239 segmentation data superimposed over the spring imagery to identify and categorize wetlands.

240 Additional ancillary data were used during the interpretation process when needed, including; summer  
241 imagery from 2008-2010, SSURGO soils derived products, the DEM, and DEM derived products. The  
242 interpreters could use the segmentation derived boundary without modification, manually edit the  
243 polygon boundary, or discard the segmentation based boundary to manually digitize a new boundary.

244 Adjacent wetland polygons of the same class were merged. All automated wetland classification values  
245 were either confirmed or manually reclassified by a human interpreter. As with the field data, all  
246 mapped wetland polygons were classified according to the Cowardin classification system (Table 1).

## 247 **Validation Data**

248 Two sets of independent validation data were created using field checks and independent image-  
249 interpretation, respectively. The validation data were not made available to the image analysts. These  
250 data were reserved to make a post-processing accuracy assessment of the updated wetland inventory  
251 maps.

252 We created a set of 951 validation points through field checks and another set of 901 validation points  
253 through independent image-interpretation. All points were initially selected using a stratified-random  
254 sampling process with the strata defined by a recently developed land cover dataset from the  
255 Minnesota wetland status and trends monitoring program (Kloiber et al. 2012). The stratification was  
256 designed to place 75% of the selected points in wetlands and 25% in uplands. We used this sampling  
257 scheme in an attempt to ensure that all wetland classes were well represented in the validation data.

258 Field validation points were evaluated by crews making ground-level assessments of wetland class  
259 between May and September of 2010. Geographic coordinates were acquired at each observation site  
260 using a Trimble Juno GPS data logger and the data were differentially corrected to improve positional  
261 accuracy. Image-interpretation validation points were classified using image-interpretation of high-  
262 resolution, digital stereo imagery, lidar-derived digital elevation models, and other ancillary data. Digital  
263 stereo imagery was viewed using a stereo-photogrammetry workstation equipped with StereoAnalyst  
264 software for ArcGIS (ERDAS 2010) and a Planar SD1710 stereo-mirror monitor.

265 The mapped wetland class was associated with the validation reference class using a spatial join process  
266 in ArcGIS. Distances to the wetland feature and class boundaries were computed. To address potential

267 confusion between classification accuracy and positional accuracy, image-interpreted points that fell  
268 within the 95% confidence interval for the positional accuracy of the imagery (1.53 meters) of a wetland  
269 feature or class boundary were excluded from analysis. Field points that fell within the combined 95%  
270 confidence interval for the positional accuracy of the imagery and the GPS (5.64 meters) of a wetland  
271 feature or class boundary were also excluded.

272 The data were compared at two levels: agreement for a simple two-category system of wetland-upland  
273 features, and agreement for the wetland class-level. The producer's accuracy, the user's accuracy, and  
274 the overall accuracy were calculated (Congalton and Green 2008). The producer's accuracy is equal to  
275 the complement to the omission error rate for the map, whereas the user's accuracy is equal to the  
276 complement to the commission error rate. Mixed classes occur occasionally in the mapped data due to  
277 spatial scale limitations. Wetland features that consist of highly interspersed classes are impractical to  
278 separate and classify at the map scale. However, mixed classes did not occur in the validation data. For  
279 the purposes of the accuracy assessment, if the field class matched either of the classes in a mixed class  
280 map unit, it was counted as a match.

## 281 **RESULTS**

### 282 **Intermediate Automated Classification Results**

283 Initial image segmentation efforts resulted in many small image objects, requiring significant time spent  
284 merging, classifying, and editing features (Figure 2). However, feedback from the photo-interpreters was  
285 incorporated into a refined image segmentation rule set to provide image objects which more closely  
286 represented the wetland features of interest. Initially, the typical number of image objects per quarter  
287 quad tile was about 96,000; after refining the segmentation rules the per-tile average object count was  
288 about 4,300. The refined segmentation rules aggregated image objects resulting in an increase in the

289 mean object size of 430 m<sup>2</sup> to 1,600 m<sup>2</sup>. The minimum object area stayed roughly the same, while the  
290 maximum object area went from 8,900 m<sup>2</sup> to 57,000 m<sup>2</sup>.

291 The subsequent random forest classification had an overall bootstrapped accuracy of 92% for separating  
292 wetlands from uplands and an overall bootstrapped accuracy of 69% for wetland class assignment.

293 These values should be treated with some degree of caution, as the bootstrapped accuracy results are  
294 not directly comparable to the final accuracy assessment using the independent validation data.

295 Nonetheless, these results do support the notion that the automated classification component  
296 significantly reduces the work load of the manual photo-interpreter by providing a reasonably accurate  
297 intermediate product.

### 298 **Final Product Accuracy Assessment**

299 There were 743 field validation data points after excluding points within the positional uncertainty of a  
300 mapped wetland boundary. The overall field accuracy for discriminating between wetland and upland  
301 was 90%. The wetland producer's accuracy was 90% and the user's accuracy was 96% (Table 2).

302 The overall accuracy at the wetland class-level was 72% (Table 3) when compared to the field validation  
303 data. Many of the discrepancies between the field class and the mapped class were the result of  
304 confusion between the limnetic (L1) and littoral (L2) systems as well as confusion between the aquatic  
305 bed (AB) and unconsolidated bottom (UB) classes.

306 There were 891 validation points in the image-interpreted dataset after excluding points within the  
307 positional uncertainty of the imagery of a mapped wetland boundary. The overall image-interpretation  
308 accuracy for discriminating between wetland and upland was 93% (Table 4). The wetland producer's  
309 accuracy was 93% and the user's accuracy was 98%.

310 The overall accuracy at the wetland class-level was 78% (Table 5) when compared to the image-  
311 interpretation validation data. As with the assessment using field data, many of the classification

312 discrepancies were associated with confusion between the limnetic and littoral subsystems as well as  
313 confusion between the aquatic bed and unconsolidated bottom classes.

### 314 **Comparison to Original NWI**

315 The original NWI data for the 13-county project area has 125,586 wetland class features with a total  
316 surface area of 2,958 square kilometers. Whereas, the updated NWI data for the same area includes  
317 195,983 wetland class features with a total surface area of 3,104 square kilometers; an increase of 56%  
318 for the number of wetland class features and an increase of 4.9% in wetland area. The increase in the  
319 number of individual wetland class features suggests that the updated NWI was better able to  
320 distinguish between wetland habitat classes within wetland complexes, identifying more wetland  
321 polygons with less cross-class aggregation. However, an increase of total wetland area of 4.9% over a  
322 period where urban development is widely believed to have resulted in wetland loss suggests that the  
323 updated wetland inventory also mapped many wetlands that were missed in the original inventory. A  
324 visual comparison of the results also supports this conclusion as well as clearly showing a more precise  
325 boundary placement (Figure 3).

326 Using our validation data, we found that present-day feature-level accuracy of the original NWI is 76%  
327 based on the image-interpreted validation data and 75% based on the field validation data (Table 6). The  
328 updated wetland inventory described here has significantly better accuracy for upland-wetland  
329 discrimination for present-day users. Likewise, the class-level accuracy for the updated NWI is also  
330 better than the original NWI for present-day users. The class-level accuracy increased by 19% based on  
331 the field validation data while it increased by 26% based on the image-interpreted validation data. To be  
332 fair, we recognize that the original NWI has a much lower accuracy at the present time in large part due  
333 to its age as well as from differences in the technical mapping approach.

## 334 **DISCUSSION**

### 335 **Automation Efforts**

336 Past efforts using automated classification of remote sensing data for the NWI have largely focused on  
337 the use of relatively coarse resolution, optical satellite imagery data (Tiner 1990; FGDC 1999; Ozesmi  
338 and Bauer 2002). Mapping and classifying wetlands to the Cowardin classification system used in the  
339 NWI is inherently difficult due to the number of classes, sub-classes and modifiers and the temporal  
340 variability associated with wetlands. Therefore, we opted not to attempt to fully automate the  
341 classification process; instead we designed the automation strategy around making the human image  
342 interpretation process more efficient. By automating the most time-consuming part of the image  
343 interpretations, initial delineation of boundaries and identifying broad wetland classes, we were able to  
344 allow the image interpreters to focus more of their efforts on the most difficult components of the  
345 process, such as the assignment of detailed wetland classes and modifiers.

346 A significant task during this project was adapting automation techniques developed in a research  
347 setting (Corcoran et al. 2011, Knight et al. 2013, Corcoran et al. 2013, Rampi et al. 2014a) for use in  
348 production over a large area. The effort allocated to building, testing and refining the automation steps  
349 required an up-front investment, but the labor saved during the image interpretation process resulted in  
350 a net gain in efficiency. Rampi et al. (2014a) used a similar automated method for a simple four-class  
351 map without subsequent manual photo-interpretation, achieving overall accuracies for wetlands in the  
352 range of 96-98 percent. These results support our assertion that the initial wetland mapping steps can  
353 be partially automated, while leaving the more detailed classification steps to human photo-  
354 interpreters. This strategy provides improvements in overall efficiency while still maintaining high  
355 standards for spatial resolution, classification detail, and accuracy.

### 356 **Accuracy Assessment**

357 The federal wetland mapping standard provides recommendations on map accuracy goals but little  
358 specific guidance on how to conduct wetland mapping accuracy assessments. There are many design  
359 decisions involved in developing an accuracy assessment method for a remote sensing wetland  
360 inventory that can influence the results. We used two different validation data sets with different  
361 methods of acquisition, one using field data and another using image-interpreted data. Simply changing  
362 the data acquisition method resulted in a difference in the overall accuracy of 3% at the feature level  
363 and 6% at the class-level. Changes in a number of other variables such as the distribution across the  
364 sampling strata or the threshold used for screening out the effects of position uncertainty would affect  
365 the calculation of final map accuracy values. Comparing accuracy results from one project to the next  
366 will be difficult without some additional standardization for the accuracy assessment method.

367 The federal wetland mapping standard does not address errors of commission. The standard states that  
368 98% of all wetlands “visible on an image” and larger than 0.2 ha shall be mapped (FGDC 2009). Based on  
369 this, the producer’s accuracy for this project fell 5% short of the requirement. However, the federal  
370 wetland mapping standard only specifies a threshold for errors of omission and not errors of  
371 commission. A user’s accuracy of 98% carries no weight with respect to the federal wetland mapping  
372 standard, but clearly it is an important consideration for the end users of the data. Without specific  
373 quantification of commission errors, it is possible to bias a mapping project toward meeting the federal  
374 standards by intentionally over-classifying upland features as wetlands. The federal standard also calls  
375 for 85% attribute accuracy for wetland classes, but it is not clear whether this is intended to be a  
376 standard for the overall class accuracy or the user’s or producer’s accuracy on individual wetland  
377 classes.

378 There is an important relationship between class accuracy, the number of classes mapped, and how  
379 distinct these classes are. In the present case, the overall class accuracy for this project is 78%, but some  
380 of the observed classification error is certainly due to confusion between highly similar or temporally

381 variable wetland classes. For example, the distinction between the limnetic and littoral systems is  
382 primarily based on water depth. The portion of a lacustrine system deeper than 2 meters is defined as  
383 limnetic; whereas the portion shallower than 2 meters is defined as littoral (Cowardin et al. 1979).  
384 Accurate classification of limnetic and littoral areas is very difficult without bathymetric survey data  
385 (Irish and Lillycrop 1999; Dost and Mannaerts 2008). Not only are the optical imagery, near-infrared  
386 lidar, and radar data used in this mapping effort limited in their ability to assess water depth, but also,  
387 the field validation data were acquired from shore. As a result, it is difficult to determine whether the  
388 error lies within the field data or the map data. In another example, the distinction between aquatic bed  
389 and unconsolidated bottom wetland classes is defined by the presence or absence of rooted aquatic  
390 vegetation. The confusion between these classes likely arises in large part due to the dynamic nature of  
391 aquatic vegetation. Aquatic vegetation may be present in one part of the wetland in a given year (or  
392 season within a year) and then appear in a different part of the same wetland in another year. Given the  
393 expense and difficulty associated with separating out some of the wetland classes in the Cowardin  
394 system, if a high level of accuracy for individual wetland classes is desired, it would be preferable to  
395 simplify the classification by aggregating some classes.

396 This mapping effort exceeded many of the input data requirements of the federal wetland mapping  
397 standard. The base imagery exceeded both the spectral and spatial resolution requirements as well as  
398 the positional accuracy requirement. The input data requirements were also exceeded by including  
399 datasets like lidar, radar, and multi-temporal imagery. Given the unusually high quality and richness of  
400 the source data used in this project, the results raise the question whether it is practically feasible to  
401 achieve the federal wetland mapping standard in large scale wetland mapping projects.

402 In addition to the above observations about issues with the interpretation and application of the federal  
403 wetland mapping standard, another key result from this work was to quantify the overall improvement  
404 in accuracy resulting from the update of the wetland inventory. Our results showed that when

405 compared to current field data we achieved a 15% increase in wetland-upland discrimination and a 19%  
406 increase in wetland class accuracy. We have previously noted that this was not meant to be an  
407 assessment of the accuracy of the original NWI at the time of its creation. It seems likely that the original  
408 NWI had a higher accuracy at the time it was created. However, it is also important to note that in the  
409 absence of an updated wetland inventory, people will continue to use the original NWI to assess current  
410 conditions. Continuing to use inaccurate and outdated data results is likely to result in unnecessary  
411 effort or inadequate wetland protection. The updated NWI provides a better source of information from  
412 which to base present day natural resource management decisions.

413 In conclusion, we believe these results show that it is possible to produce high quality wetland  
414 inventories using a semi-automated process that will meet many, if not all, of the needs stated in the  
415 beginning of this paper. With the limited funding for these types of mapping efforts, additional work is  
416 needed to continue to increase the efficiency of wetland mapping, while at the same time producing  
417 results that meet the needs of the resource managers. Also, there is a need to refine and standardize  
418 wetland mapping accuracy assessment methods. Furthermore, detailed accuracy assessment results,  
419 such as presented here, provide important information to users who seek to understand the potential  
420 limitations of remotely sensed wetland inventory data.

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## TABLES

Table 1

Class Code	Class Description
L1UB	Lacustrine Limnetic Unconsolidated Bottom
L2AB	Lacustrine Littoral Aquatic Bed
L2EM	Lacustrine Littoral Emergent
L2UB	Lacustrine Littoral Unconsolidated Bottom
L2US	Lacustrine Littoral Unconsolidated Shore
PAB	Palustrine Aquatic Bed
PEM	Palustrine Emergent
PFO	Palustrine Forested
PSS	Palustrine Scrub-Shrub
PUB	Palustrine Unconsolidated Bottom
R2AB	Riverine Lower Perennial Aquatic Bed
R2UB	Riverine Lower Perennial Unconsolidated Bottom
R2US	Riverine Lower Perennial Unconsolidated Shore
UPL	Upland

Table 2

Reference Determination	Map Determination		
	Upland	Wetland	Total
Upland	201	18	219
Wetland	54	470	524
Total	255	488	743

Overall Accuracy	90%
Wetland Producer's Accuracy	90%
Wetland User's Accuracy	96%

Table 3

Reference Class	Map Class													Total
	L1UB	L2AB	L2EM	L2UB	PAB	PEM	PFO	PSS	PUB	R2AB	R2UB	UPL		
L1UB	1													1
L2AB	2	14	2		2							1		21
L2EM														0
L2UB	2			21								1		24
PAB		7		2	24	3			27				5	68
PEM		1			3	130	1	3	6			1	37	182
PFO						2	22	6					24	54
PSS						8	6	18					13	45
PUB				1	3				27				3	34
R2AB												2		2
R2UB												12	3	15
UPL						6	7		1				223	237
Total	5	22	2	24	32	149	36	27	61	0	17	308	683	

Table 4

Reference Determination	Map Determination		
	Upland	Wetland	Total
Upland	208	12	220
Wetland	47	624	671
Total	255	636	891

Overall Accuracy	93%
Wetland Producer's Accuracy	93%
Wetland User's Accuracy	98%

Table 5

Reference Class	Map Class														Total
	L1UB	L2AB	L2EM	L2UB	L2US	PAB	PEM	PFO	PSS	PUB	R2AB	R2UB	R2US	UPL	
L1UB	39			5								8			52
L2AB	2	26	9	3		1	4								45
L2EM															0
L2UB	5	3	3	31								3			45
L2US					1										1
PAB						21	5			11	1	1			39
PEM						2	99	2	1	1				18	123
PFO							1	30	3					19	53
PSS							13	2	20			1		7	43
PUB		1		1		22	7	1	1	142				5	180
R2AB															0
R2UB							2	2				58			62
R2US							1	1				6	6		14
UPL							5	5				1		208	219
Total	46	30	12	40	1	48	137	41	25	154	1	78	6	257	876

Table 6

	Original NWI	Updated NWI
Feature Accuracy		
Field	75%	90%
Image-interpreted	76%	93%
Class Accuracy		
Field	53%	72%
Image-interpreted	52%	78%

DRAFT

## TABLE CAPTIONS

Table 1: Wetland class codes and associated descriptions from Cowardin et al. (1979) applicable to the study area.

Table 2: Accuracy comparison for wetland-upland discrimination using field validation data. Class agreement between the two datasets is indicated by the shaded cells in the table

Table 3: Accuracy comparison between the field validation class and the mapped wetland class in the updated NWI data. Class agreement between the two datasets is indicated by the shaded cells in the table.

Table 4: Accuracy comparison for wetland-upland discrimination using photo-interpreted validation data. Class agreement between the two datasets is indicated by the shaded cells in the table.

Table 5: Accuracy comparison between the image-interpreted validation class and the mapped wetland class in the updated NWI data. Class agreement between the two datasets is indicated by the shaded cells in the table.

Table 6: Comparison of present-day accuracy of the original NWI to the accuracy of the updated NWI.

## FIGURE CAPTIONS

Figure 1: The project area includes thirteen counties in east-central Minnesota, USA.

Figure 2: Illustration of the image classification process showing (a) the infrared band from the spring imagery, (b) the lidar hillshade DEM, (c) initial image objects, (d) refined multi-resolution objects, and (e) the final wetland inventory map.

Figure 3: A comparison of the original NWI wetland boundaries (dashed black line) to the updated wetland boundaries (white line) shown on top of a lidar hillshade layer.

Figure 4 (electronic supplemental material - online only): A comparison of the original NWI wetland boundaries (green) to the updated wetland boundaries (blue) shown on top of a false color-infrared aerial image.

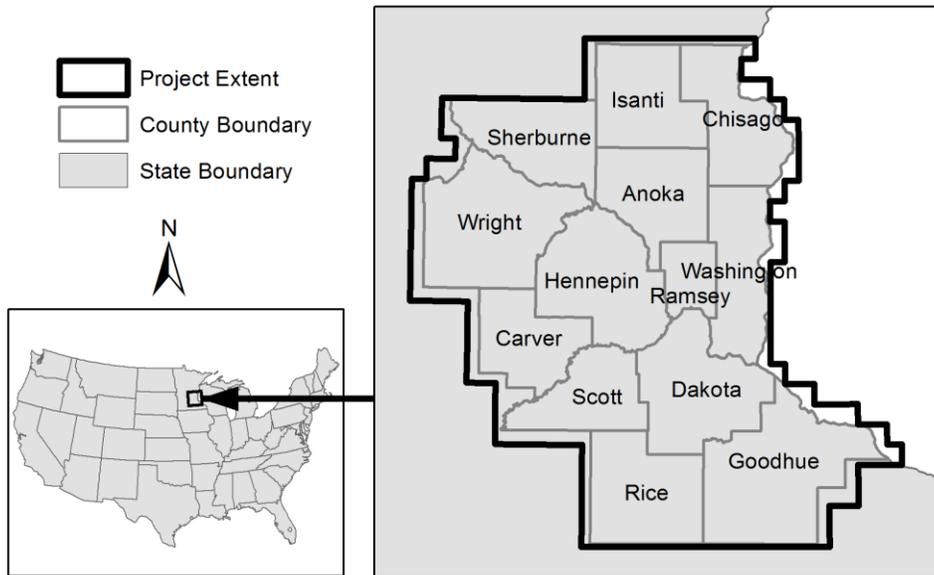


Figure 1: The project area includes thirteen counties in east-central Minnesota, USA.

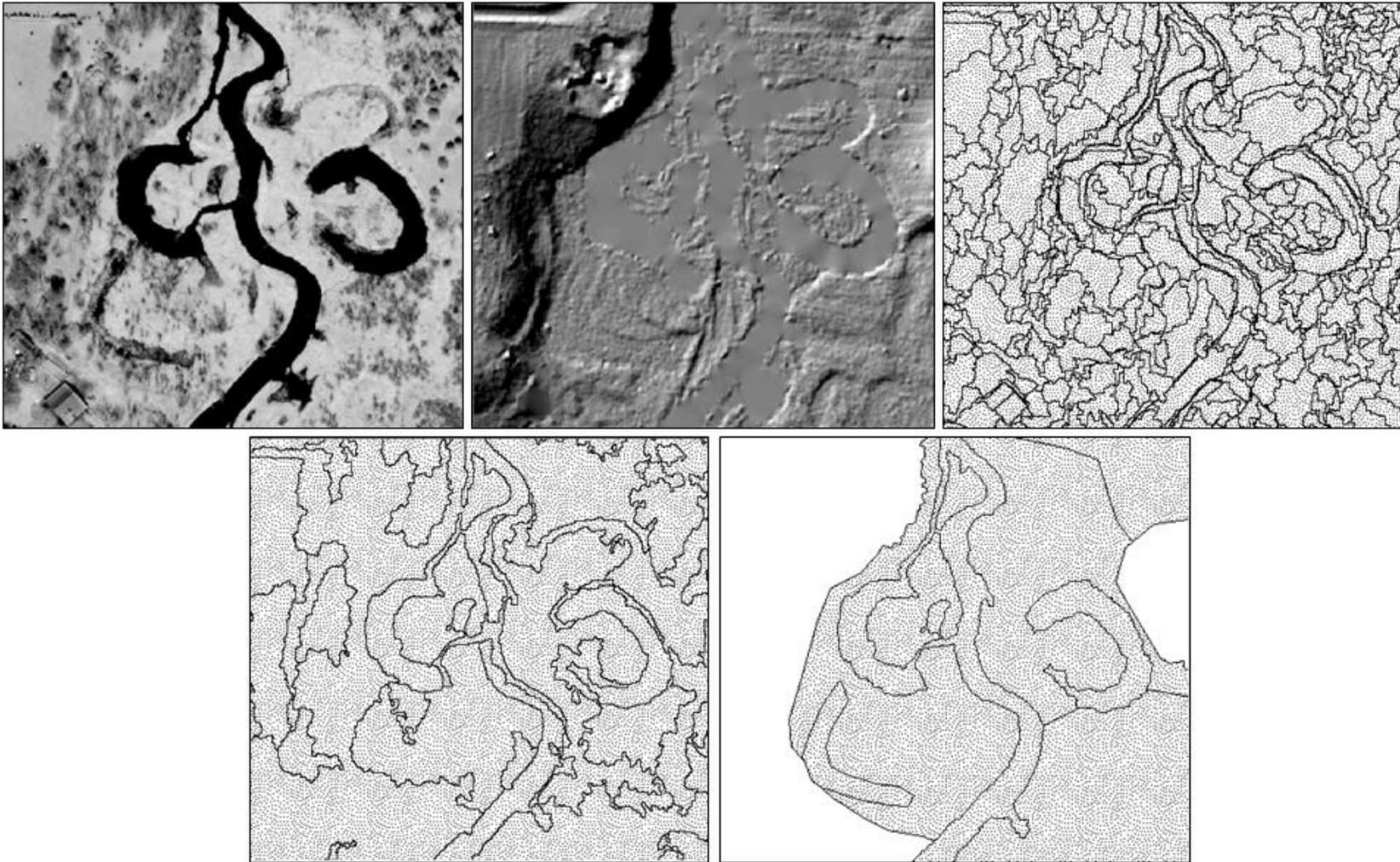


Figure 2: Illustration of the image classification process showing (a) the infrared band from the spring imagery, (b) the lidar hillshade DEM, (c) initial image objects, (d) refined multi-resolution objects, and (e) the final wetland inventory map.

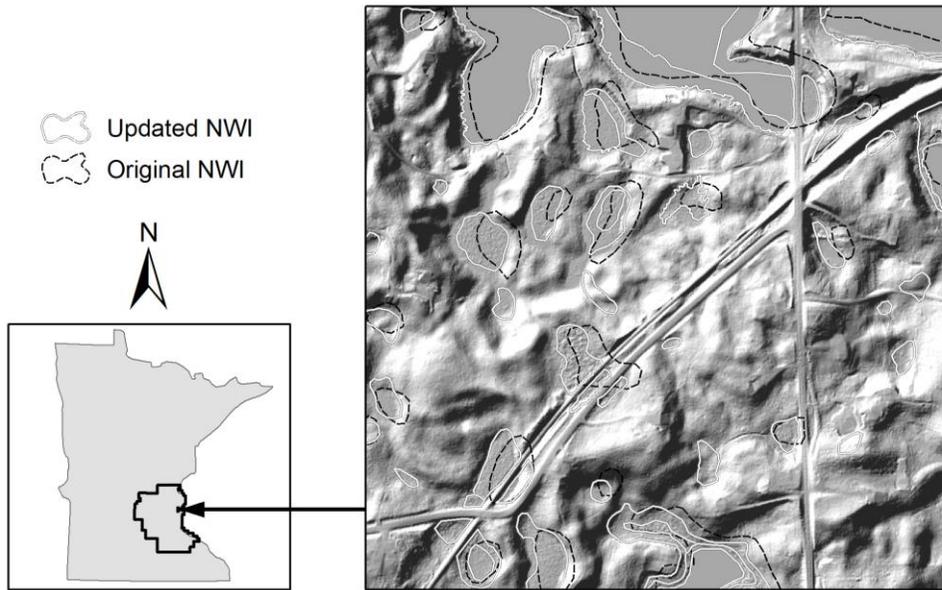


Figure 3: A comparison of the original NWI wetland boundaries (dashed black line) to the updated wetland boundaries (white line) shown on top of a lidar hillshade layer.

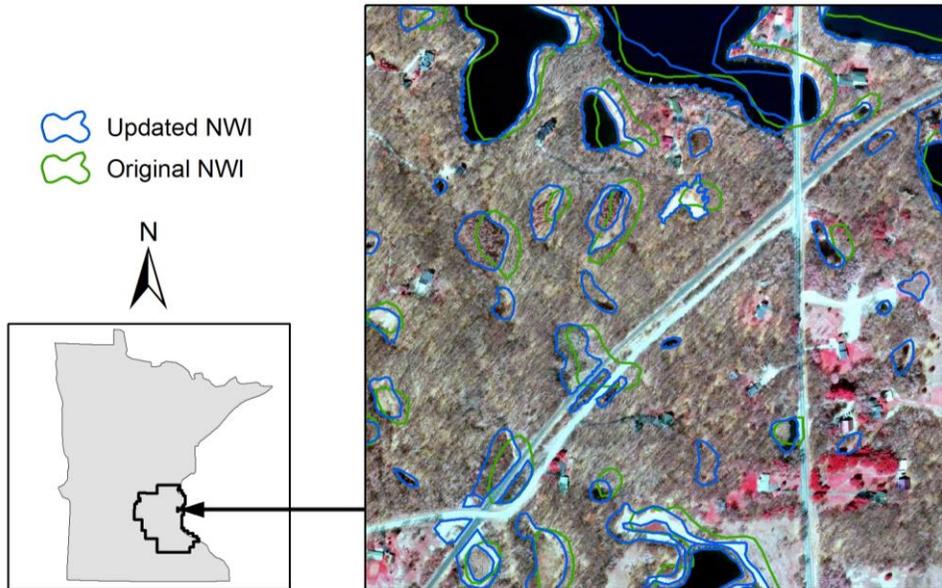


Figure 4 (electronic supplemental material - online only): A comparison of the original NWI wetland boundaries (green) to the updated wetland boundaries (blue) shown on top of a false color-infrared aerial image.